

The Joyce Foundation 2005 Annual Report



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“This country will not be a good place for any of us to live in
unless we make it a good place for all of us to live in.”

Theodore Roosevelt



President's Letter

The lives of millions of people are affected, every day, by the policies and practices of government, business, and nonprofit sectors.

At Joyce, our primary interest is sustaining and enhancing the quality of life of everyday people in the Midwest: a quality of life that, we believe, should include a clean environment, a good education, decent jobs, protection from violence, and access to rich cultural experiences.

We focus on improving public policy, because public systems such as education and welfare directly affect the lives of so many people, and because public policies help shape private sector decisions about jobs and the environment. To ensure that public policies truly reflect public rather than private interests, we support campaign finance reform.

The problems we focus on are vast, systemic, and subject to massive economic, social, and political forces. The good news is that there are numerous talented people available and eager to find solutions, and their efforts are bearing fruit. Some recent examples:

- All children deserve a successful start in school. In Illinois, a disciplined, knowledgeable, and aggressive alliance of nonprofit leaders developed and pushed through a plan to improve early childhood education. As a result of their efforts, the

state has expanded preschool opportunities for thousands of poor families, giving our children a better chance in life.

- Years of painstaking work to protect the Great Lakes paid off last winter when a landmark agreement was signed by governors and Canadian officials to conserve Great Lakes water. And, the very same week, a major plan for Great Lakes Restoration was announced by government, business, civic, and nonprofit leaders. Millions of people in the Midwest depend on clean water – to drink, for fishing and boating, and for the pure beauty and enjoyment of the lakes. These agreements take us a step closer to ensuring that our children and grandchildren will share this treasure too.

- Gun violence takes 30,000 American lives every year. Strategies to reduce the toll preoccupy law enforcement, health professionals, and municipal leaders. One thing lacking in their efforts? According to the National Academy of Sciences, it's good data. To address this problem, Joyce helped public health experts at Harvard University and elsewhere establish a system to collect and analyze data about violent incidents, which in turn became the model for a nationwide database now under construction at the Centers for Disease Control. Such information is invaluable. Statistics pinpointing the location of murders in Bethlehem, Pennsylvania, prompted law

enforcement to concentrate on neighborhood hot spots. In Oregon, data revealing that many older adult suicides had visited their doctor in the month before they died led state officials to create a suicide prevention plan involving physicians and targeted at older adults. The National Violent Death Reporting System is finding champions among law enforcement leaders, whose members risk their lives every day to combat violence in our communities. NVDRS is now in place in seventeen states and, we hope, will grow to cover all fifty states in the future.

Joyce has developed several new programs in 2005 that we believe will also yield strong results.

Energy from Clean Coal Because fossil fuel emissions create pollution and foster climate changes that threaten the Great Lakes, the Foundation will support projects that encourage development of clean coal technologies and discourage construction of old-style coal-burning plants.

Healthy Rivers, Healthy Lakes This year we will start a focused effort to clean and conserve a few key Great Lakes watersheds, and to document the impact of these efforts.

Jobs for Ex-offenders For the more than 600,000 people who reenter society each year from prison or jail, finding a job is key to turning their lives around. But the barriers are major. This year Joyce

is launching a \$5 million initiative to evaluate a promising new strategy, called “transitional jobs,” to help ease ex-offenders into the workforce. Transitional jobs are temporary jobs, combined with counseling, placement and other supports, that enable people who've been out of the job market to build up some work experience. They've enabled many longtime welfare recipients make the move toward work; the hope is that ex-offenders will succeed as well.

Good public policy can improve the lives of individual people in our communities. This belief drives the best elected officials and top civic leaders, and is at the core of the Foundation's grantmaking. We are willing to take risks – since as the proverb says, a turtle never moves forward without sticking its neck out – and we encourage experimentation and the generation of new ideas. We are proud of the accomplishments of our grantees, accepting of the inevitable missteps that come with taking chances, and optimistic about the potential of our new initiatives.

We welcome your feedback and inquiries.

Ellen S. Alberding, *President*

May 2006



Education

Illinois is home to some 900,000 children in the earliest stages of life. They live in high-rises, bungalows, split-levels and doublewides, from Cairo to Gurnee, Rock Island to Little Village.

Families cherish these little ones, of course. Increasingly, the larger society also is coming to understand how much is going on inside those tiny heads.

Research has documented that children's earliest experiences profoundly affect the way their brains develop. Children from the very start are not only learning, but learning how to learn. Recognizing this, the Joyce Foundation has committed \$1 million a year in grants to promote high-quality early learning experiences especially for children in Illinois and Wisconsin. Encouraging high-quality preschool experiences – along with improving the quality of teaching children encounter once they get to school – are the core strategies of the Foundation's work to reduce the achievement gap and help all children learn.

In Illinois and elsewhere, early learning has become the buzzword of business leaders, policy makers, and educators. In 2005, the Illinois Early Learning Council created a comprehensive five-year plan, *Preschool for All*. The state of Illinois responded with legislation that makes it the first state to offer voluntary, high-quality preschool for all three- and four-year-olds, and extend help for at-risk infants and toddlers as well. In addition to continuing its support for existing programs, the legislature recently committed an additional \$45 million this year for preschool improvement and expansion.

But even with additional resources, preschool may remain out of reach for many families.

Most children of parents who work outside the home are cared for by families, friends and neighbors, or in small, licensed child care homes. "Two-thirds of the women we serve are working multiple part-time jobs, with schedules that can change literally week to week," says Maria Whelan, president of Action for Children, an Illinois agency that assists over 50,000 families monthly with child care referrals and financial assistance. Juggling schedules and arranging transportation to get the little ones to grandma's house or the neighbor down the street is hard enough; adding on arrangements for kids to spend part of their day in a formal preschool program becomes nearly impossible.

If these children can't get to preschool, Action for Children reasoned, why not bring preschool to them? Using \$700,000 in Joyce funding, the group is creating high-quality preschool programs targeted specifically for children in home child care settings.

Minnie Brady, a longtime home child care provider, sends two of the eight children in her care to a preschool program at the Community Mennonite Early Learning Center in Markham, Illinois. The children spend half a day, four days a week, in a big, sunny space at the center, under the instruction of a certified teacher and a teacher's assistant. The environment is warm and colorful, rich in books, letters and numbers, and materials for drawing, building, manipulating, and engaging in fantasy play. While the older children are at preschool, Brady can give individual attention to the younger children in her care. In addition, the preschool teacher visits Brady's home weekly, and the Center arranges workshops for home child care providers.

The Mennonite Center preschool program is one of six organized by Action for Children in Chicago and its southern suburbs, all geared to providing quality learning experiences for children in home child care. Although the initiative has just started, some lessons are already apparent.

Funding is important in launching innovative models. Illinois is recognized nationally, with leadership from the governor and strong legislative support for early learning on both sides of the aisle. Flexibility is critical. Whelan laments, for example, that child care funding does not cover transportation to ferry kids to the center, a logistical barrier for home child care providers. Also important, she believes, is the potential for bringing these providers in as partners in the movement for expanding high-quality preschool opportunities to children who especially need them.

"Invest in the very young" is the recommendation of Nobel Economics Laureate James Heckman, an influential advocate of expanding early education. Seeing a small child banging on pots, drawing pictures, watching goldfish swim or wondering at the stars, parents probably wouldn't use the language of economics. But they would understand what promise lies in the smallest children given the opportunity to learn.





Employment

In years past, you could get a decent job in places like Cleveland or Milwaukee even if you didn't have much education. Midwest manufacturing paid decent wages, enough to buy a car and shoes for the kids, with a little extra to go fishing in July somewhere up north.

But factory jobs have been bleeding away for decades. Those that remain often depend more on brains than brawn. New jobs, especially those that pay reasonably, demand education: 80 percent of some 23 million jobs expected to be created in this country by 2013, according to one estimate, will require some post-secondary education.

There's a growing mismatch out there in the job market. Midwest employers worry about finding skilled workers for future job needs. Meanwhile Midwest workers without post-secondary education – in Illinois, 40 percent of people in the prime working years – will find themselves increasingly trapped in low-wage, dead-end jobs or out of work altogether.

“Unless people have some amount of post-secondary education, they are not going to earn family-supporting wages in the knowledge-based economy,” says Toni Henle of Women Employed, a Chicago-based workforce policy and advocacy group. “You can't just work yourself out of poverty if you start in a low-wage job.”

But adult education and training are fragmented among a bewildering array of community colleges, proprietary schools, employer programs, and training courses run by community agencies. There's no easy way for an individual worker or employer to make these programs serve their needs and build skills over time.

Health care, for example is a growing sector with strong demand for workers at a variety of levels and a critical shortage of people to fill high-skilled nursing jobs. It is easy enough to qualify for entry-level jobs. Much harder is to plot out a path combining work and courses to move up to increasing levels of skill, responsibility, and pay.

Reorganizing post-secondary education and training to create such “career pathways” is one key strategy being pursued by the Joyce Foundation, part of its overall commitment to improve the prospects of low-wage workers in the emerging Midwest economy.

“In a career pathways approach,” explains Henle, “it’s really clear what people need to do to advance to a better job.” That starts with good career information, so that job-seekers get a sense of the overall industry and whether they might fit in. Workers should be able to start on the pathway with basic courses that prepare them for entry-level jobs and also set them up for more advanced courses later on. Timing and locations should be flexible, to enable people with jobs and families to fit in courses when and where they can. Financial aid should be available. People who don’t qualify for basic courses, which generally require at least tenth grade reading and math skills, should get remedial help (and not use up all their financial aid in the process).

Women Employed and the Chicago Jobs Council along with other Joyce grantees have been working out the elements of a “career pathways” system. CJC’s 2005 survey of Chicago’s workforce needs, *Big Shoulders, Big Challenges*, recommended several steps to improve the links among different education and training systems. Women Employed’s report *Investing in Success* spotlighted counseling, financial aid, and other supports to help community college students stay on track. The two groups worked with local job trainers, the health care, transportation, and manufacturing industries, the

Illinois Community College Board, and the Chicago Workforce Board to develop pilot “bridge programs” to get low-skilled students remedial help to qualify for future training. Those programs are being evaluated by the University of Illinois at Chicago Great Cities Institute. In Wisconsin, the Madison Area Technical College has worked to bring its adult basic education, vocational education, and customized training courses into alignment, and to create a flexible financial aid system.

Out of these small steps, a career pathways approach is slowly emerging. In 2005 the Joyce Foundation board committed to a three-year, \$10 million initiative to move it along. Grants will pursue further strategies to align state workforce and education policies with industry demands to produce skilled workers.

If such efforts succeed, workers who might once have been trapped in low-wage jobs will be able to build, over time, their own path to higher skills and better jobs, using a combination of coursework, certificates, financial aid, and work experience. Employers will be able to access a pool of skilled workers to replace retiring baby boomers and fill new jobs. And the Midwest will continue to be a place with good jobs at decent wages for another generation.





Environment

The Great Lakes are vast enough to be visible from space. But for the people who live around them, they are an intimate part of everyday life, from the first coffee in the morning to the last tooth-brushing at night. Whether the lakes are polluted or clean – whether their waters are protected or squandered – makes a big difference.

2005 saw two huge steps toward restoring and protecting the Great Lakes. Public officials, business leaders, and citizen organizations from around the region for the first time created a unified plan for Great Lakes Restoration, announced in December. It was followed on the very next day by the signing of a long-awaited compact among states and provinces bordering the lakes committing them to conserve Great Lakes water.

“These are both products of years of hard work and inspiration by thousands of people,” says Andy Buchsbaum of the National Wildlife Federation, who helped lead nonprofits participating in both negotiations. “Either one would have been the accomplishment of the decade, and here they both happen in the same month.”

But what happened in December is just the beginning. Both policy changes and new resources will have to come from federal, state, local, tribal, and private sources to realize the promise of the two initiatives.

The water conservation compact now goes to the state and provincial legislatures for ratification. “The fact that eight states and two provinces all agreed on such a massive document that has to do with a whole ecosystem – that was pretty amazing,” says Michigan State Senate President Pro-Tempore Patty Birkholz (R, Saugatuck Township), whose district borders Lake Michigan. “Now the legislatures have to adopt it with very little deviation from the document. And that’s going to be pretty hard for legislators to do.”

Birkholz hopes to work through the Great Lakes Legislative Caucus, which she organized, as well as with a Joyce-funded effort by the Council of Great Lakes Governors to educate lawmakers about the importance of the conservation compact and get them to take it up for consideration in the months ahead.

For the Restoration initiative, the big question is resources. The December plan included a price tag of \$20 billion but no new commitment of federal dollars. In April 2006, Great Lakes members of Congress introduced bipartisan legislation that

would allocate \$10.5 billion for cleanup efforts, plus another \$13 billion to finance wastewater treatment to keep sewage from fouling the lakes. In the meantime, state leaders like Birkholz and local officials, led by Chicago's Mayor Richard M. Daley, urged Congress to start by passing invasive species legislation, which would benefit the lakes, and funding some of the priorities at the top of the Restoration agenda.

Lakeshore cities already commit "hundreds of millions" to projects that protect the lakes, notes Dave Ullrich, executive director of the Great Lakes-St. Lawrence Cities Initiative. He cites programs to control stormwater, protect beaches and shorelines, and reduce mercury and other toxins entering the lakes. States are also heavily invested.

Whatever Congress does, state, local, and private sector efforts will still be critical, says John Andersen, director of the Great Lakes Program for the Nature Conservancy. In early 2006 the Conservancy identified more than 500 sites in the United States and Canada as vital areas that need to be protected, both for their own sake and for their contribution to Great Lakes Restoration.

The Conservancy hopes that its "blueprint" will help direct local, state, and federal resources where they can do the most good. It is also working with the Wildlife Federation and other citizen groups in the Healing Our Waters coalition as they make the case that the Great Lakes deserve adequate funding and protection from the nation as a whole.

People who live around them already understand the urgency, says the Wildlife Federation's Buchsbaum. Noting just one issue – defending against the Asian carp, a major predator which is moving up the Illinois River heading toward the Great Lakes – Buchsbaum says: "Without the barrier, we would lose lake trout, salmon, whitefish, perch. Lake Michigan would become a giant carp pond. So if you like to fish, or eat fish, or swim, or go to the beach – then Great Lakes Restoration is important to you."





Gun Violence

Fourteen-year-old Starkesia Reed was gunned down inside her own home, in Chicago's Englewood neighborhood, in a revenge shooting that had absolutely nothing to do with her. A few days and a few blocks away, bullets from a gangland battle killed Siretha White as she was celebrating her eleventh birthday at a party given by her aunt.

Both girls were victims of shooters wielding assault weapons. These are military weapons designed to spray an area rapidly with bullets. They are not something a sportsman would choose for hunting deer or pheasant; they were created for combat. Used on a city street, they can kill not only the intended target but anyone who happens by. And sometimes people who aren't even bystanders – children inside their family's homes, for example – can end up with a bullet in the brain.

Chicago police have cracked down hard on gun crime over the past few years, through initiatives like Project Safe Neighborhoods and others. As a result, the number of homicides in Chicago declined from 666 in 2001 to 448 in 2004 (75 percent of Chicago's murders are shootings).

But – as the young girls' deaths dramatize – local police can only do so much. Too often, they find their hands tied by gun policies crafted by legislators who ignore the wishes of law enforcement (and consistent majorities of ordinary citizens, including gun owners) to stand with the gun lobby. Policies that enable unscrupulous gun dealers and gun traffickers to arm criminals, or allow military-style weapons back on our streets, make neighborhoods unsafe for children like Starkesia and Siretha and imperil the lives of law enforcement trying to protect us.

In summer 2005, as murder rates nationally and in the Midwest were beginning to creep back up, a group of Midwest law enforcement leaders met in Chicago to seek solutions. Out of the meeting came the idea of organizing a Midwest law enforcement summit on gun violence. With funding from Joyce, a steering committee under the auspices of the International Association of Chiefs of Police is planning the summit for spring of 2007. The goal is to share best practices and create a common agenda on gun policies.

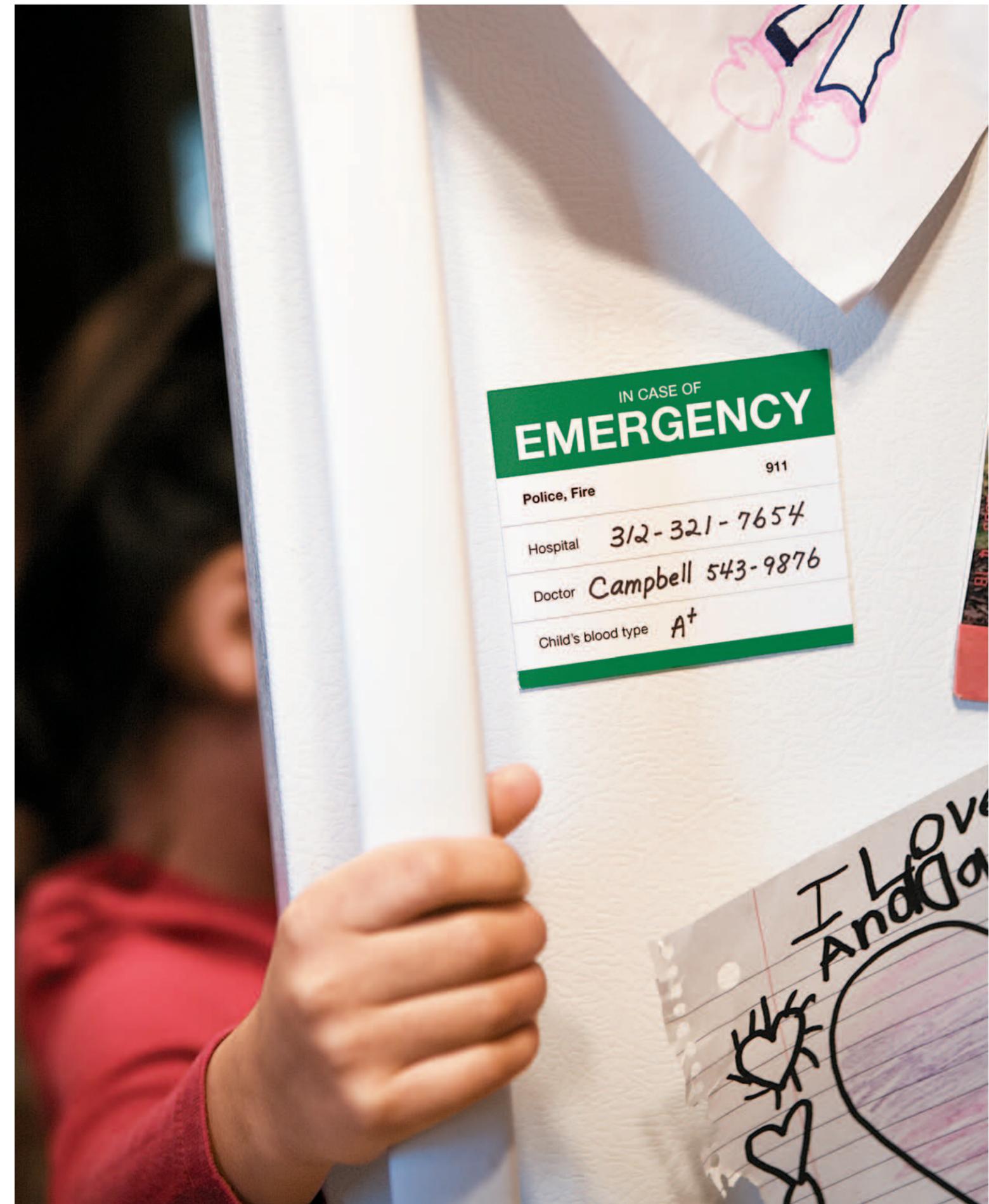
Law enforcement leaders are also partnering with community groups and citizens to improve gun policies. Many Wisconsin law enforcement leaders have joined advocates like the Wisconsin Anti-Violence Initiative in educating lawmakers and the public about the dangers of concealed weapons. In Illinois,

they joined the Illinois Council against Handgun Violence in making the case for a law to mandate background checks for purchasers at gun shows.

Another strategy that would help reduce gun deaths is better data about violent death generally. Illinois has applied to join the National Violent Death Reporting System, a national database that, when completed, will collect facts and circumstances surrounding each violent death. Currently seventeen states are part of the system, and nine others, including Illinois and New York, are ready to go but lack funding. Like the Fatal Accident Reporting System for automobile deaths, the NVDRS database would enable researchers and law enforcement to understand patterns of violence and evaluate crime-fighting tactics, public policies, public education campaigns, and other prevention strategies.

While awaiting federal funding, Illinois is building its own system, with \$150,000 from the state government and \$60,000 from Joyce. “The data will give us a more complete picture of the circumstances surrounding these tragic incidents, both homicide and suicide,” says Kathleen Monahan of Children’s Memorial Hospital, who is organizing the Illinois system. “Out of that will come better prevention.”

Better information, better policies, better prevention strategies: the hope is that, together, these can keep the pressure on and prevent more gun tragedies. It’s too late for Starkesia and Siretha. But maybe not for their brothers and sisters, and for the rest of our children.





Money and Politics

Most families, most of the time, live their lives without giving much thought to the inner workings of the political realm. Then, one day, they get involved in trying, say, to expand coverage for breast cancer or mental health in state insurance plans, or get better textbooks for their children's schools. All of a sudden, the workings of politics and government matter very much. And too often at that point, they find that their concerns can't be heard above the din of organized, well-financed special interest groups, which exert significant influence over decisions that are supposed to be made in the public interest.

Exacerbating that perception has been the spectacle, in several Midwest states over the last year, of political leaders being charged with, or convicted of, violating the law. The charges may have seemed complicated and abstract, but their effects were real enough to affect every citizen and taxpayer. In Wisconsin, former legislative leaders were convicted of using state employees, paid with tax dollars, to do political work. The governor of Ohio acknowledged accepting (but not

reporting) favors from lobbyists and business leaders. A former Illinois governor, in his previous job as secretary of state, was the beneficiary of a scheme that allowed unqualified applicants to bypass the drivers license test in exchange for political contributions. Those drivers, allowed on the road in a breathtaking violation of the public trust, were later shown to be involved in several accidents, including one in which six children burned to death.

Such scandals eat away at the public's confidence in honest, competent government "of the people, by the people, for the people." A 2005 poll by the Wisconsin Policy Research Institute found that a mere 6 percent of state residents believe elected officials act in the public interest; most believe their representatives act to further their own careers, or to do the bidding of wealthy special interests.

Especially troubling are similar surveys suggesting an erosion of confidence in the judiciary; in one, a few years ago, three-fourths of citizens said they believe campaign contributions affect judicial decisions. Nearly half the judges surveyed felt the same way. With deep-pocket groups in some states spending millions of dollars to elect judges thought to be favorable to their interests, it's no wonder citizens are skeptical of impartial justice.

Dismayed at these and other developments, a group of Midwest civic organizations met in summer 2005 and drew up a statement of “shared democratic values.” The groups, including Wisconsin Democracy Campaign, Illinois Campaign for Political Reform, Ohio Citizen Action, and others, observed that, for citizens to trust their government, they must believe that it is accessible and accountable to its citizens (and not necessarily to citizens with the most money). “All citizens must have reason to feel their government belongs to them,” the reformers’ statement said, “and they must feel there is a place for them in the democratic process. Creating that sense of belonging depends on the careful nurturing of democratic values.”

In the months since then, with Joyce support, the reform groups have been crafting their own political reform agendas that both build off these shared values and reflect the conditions in each state. Reform priorities in Ohio, for example, include campaign finance, election reform (after the controversies surrounding the 2004 election), public financing for judicial elections (in response to some extremely partisan and expensive races for the state supreme court), nonpartisan redistricting of legislative seats, lobbying and ethics reform.

Wisconsin and Minnesota groups will both be looking for ways to strengthen their public financing for state races; Wisconsin’s agenda also includes lobbying and ethics reform, while Minnesota reformers will be working on voting rights issues. Michigan and Illinois priorities include campaign finance and judicial election reform.

Such reforms may not touch people’s lives as directly as health care, jobs, and education do. But at least some citizens are realizing that reducing the influence of big money and special interests on government may be the key to making progress on the issues they care most about. Said one Wisconsin woman in a recent interview: “Jobs, education, taxes – people promise to do something about those things when they run for office. But once they’re elected they can’t get anything done because the special interests get in the way.” Political reform, she remarked, “might be the change that could turn the other things around.”





Culture

Chicago is justly famous for its architecture, its music, its world-class theaters and museums. That is partly due to its great downtown institutions, like the Chicago Symphony Orchestra and the Field Museum. But much of what makes the city culturally vibrant is right at home in the neighborhoods. Over 500 arts and cultural organizations dot the metropolitan Chicago landscape, most of them small and mid-size organizations within easy reach of families just about anywhere.

Take the Mexican Fine Arts Center Museum, for example. Located just off bustling 18th Street, in the old Mexican American neighborhood of Pilsen, this is much more than a hushed-and-hallowed museum. It has a radio station run by local teens, art classes all year, and a summer arts camp for children. It sponsors performances by local and touring music and dance groups. It organizes celebrations for Cinco de Mayo and the Day of the Dead. The Museum is a space where people bring small children, where teenagers have been known to go on first dates, where old people come to remember. It also houses one of the largest collections of Mexican art in the United States.

Or take Congo Square, an African American theater ensemble that captured three of the top 2005 Jefferson Awards (Chicago's equivalent of the Tony) for its production of August Wilson's "Seven Guitars." Although Congo Square has performed in such prestigious venues as the Goodman Theater and Steppenwolf, it makes its home at the Duncan YMCA on the city's Near West Side. Here it serves as theater-in-residence at an arts center that offers classes in everything from ballet to hip-hop, as well as a youth theater workshop. At a recent preview performance of Congo Square's production of Linda Diamond's family drama "Stickfly," youth theater participants made up a lively audience.

An entirely different tone is set by the beautifully carved image of the Brahma that graces the outside of the Cambodian American Heritage Museum on the city's North Side. Inside this peaceful space, an exhibit documents the terrifying journey of Cambodians who fled the genocide of the 1970s and made their way to Illinois. At the back, shielded by a curved partition, is a Wall of Remembrance memorializing the two million victims of the Khmer Rouge. This museum too is a community center, where people come together to celebrate Cambodian art, music, and dance, and where teachers and students can learn about the still-real potential of genocide.

The Joyce Foundation has been a longtime supporter of community arts in Chicago. Joyce made nearly \$700,000 in grants in 2005, and more than \$2 million over the last three years, to arts groups located in and giving expression to the experiences of Chicago's diverse ethnic communities.

One of the newest, Silk Road Theatre Project, got started to give voice to a community that felt itself culturally ignored and politically under assault. In the wake of anti-Arab and anti-Muslim events that followed the terrorist attacks of September 11, 2001, two young Chicagoans, Malik Gillani and Jamil Khoury, determined to respond with theater that would portray the real experiences of Middle Eastern and Muslim peoples. Its 2005 production, "Ten Acrobats in an Amazing Leap of Faith," dramatized the struggles of an Egyptian American family going through the familiar but ever new struggles of immigrants to hold onto the old culture and the old faith in a new and challenging land. Silk Road is committed to bringing such stories, not only to Chicago's substantial Arab and Muslim populations, but also to mainstream audiences that, now more than ever, need to understand the experiences and perspectives of the Middle Eastern peoples.

Community-based arts groups preserve, celebrate, and enrich the culture of Chicago's diverse communities. They also give families from other ethnic backgrounds and experiences the opportunity to step, for awhile, inside another culture: to understand the struggles of African American families, through an August Wilson play; to appreciate the coming-of-age of a young Latina, by watching the dance group Luna Negra's performance "Quinceanera"; or to be reminded about the dark possibility of genocide by seeing the names of family members of Chicagoans inscribed on the Cambodian Wall of Remembrance. Bringing people together to share common cultural experiences can expand our understanding of ourselves and one another, and enrich our lives together.



Education

The Joyce Foundation supports efforts to ensure that all Midwest children receive an education that prepares them for lives as thoughtful and productive citizens. In particular, the Foundation works to close the achievement gap that separates low-income and minority children from their peers by expanding their access to educational opportunities in early childhood, improving the quality of teachers they encounter in school, and exploring such innovations as small schools and charter schools.

Early Childhood Education

Supporting policy initiatives aimed at making preschool accessible to all three- to five-year-olds in Illinois and Wisconsin through a mixed delivery system that includes schools and community-based settings.

Erikson Institute

Chicago, IL \$500,000
To establish an Early Childhood Policy Center to produce applied research and analysis, and to facilitate the development of policies that advance early education in the Midwest. (2 yrs.)

Pew Charitable Trusts

Philadelphia, PA \$100,000
To develop a guide for states wishing to create an evaluation system for state preschool programs. (2 yrs.)

Teacher Quality

Supporting efforts to improve federal, state, and district policies so that high-need schools in Chicago, Cleveland, and Milwaukee can attract and retain first-rate teachers.

Alverno College

Milwaukee, WI \$353,793
To develop a model program to improve principal quality through intensive coaching in a subset of Milwaukee's lowest-performing schools. (2 yrs.)

Aspen Institute, Inc.

Washington, DC \$300,000
To analyze implementation of the No Child Left Behind Act, identify needed changes, and work to create public and policy-maker support for those changes. (2 yrs.)

Center for American Progress

Washington, DC \$198,464
To develop and promote strategies to redesign teacher compensation systems so that in time all students will be taught by high-quality teachers. (2 yrs.)

Center for Teacher Leadership, Inc.

Washington, DC \$150,000
For its Institute for Progressive Teacher Union Leadership, a project to nurture progressive local teacher union leaders in becoming effective forces for public education reform. (2 yrs.)

Center for Teaching Quality, Inc.

Chapel Hill, NC \$168,475
To convene a task force of high-performing teachers to develop teacher compensation reform models. (1 yr.)

Chicago Public Education Fund

Chicago, IL \$407,327
To provide strategic support to the Chicago Public Schools in implementing a human-capital driven strategy for turning around failing schools. (2 yrs.)

Citizens' Commission on Civil Rights

Washington, DC \$104,000
For a report on state progress in meeting requirements of the No Child Left Behind Act that prohibit poor children from being taught by underqualified teachers. (1 yr.)

Cross City Campaign for Urban School Reform

Chicago, IL \$125,000
To analyze and report on school-by-school spending on teacher salaries in Chicago schools, and to conduct a public outreach campaign to build support for providing underserved schools with additional teacher resources. (1 yr.)

Education Commission of the States

Denver, CO \$167,239
To create a set of high-quality resources for policy makers on teacher compensation redesign. (1 yr.)

Education Sector

Washington, DC \$219,340
For research and advocacy designed to advance new ideas for reforming key collective bargaining provisions that make it difficult to attract and retain quality teachers in high-need schools. (1 yr.)

Education Writers Association

Washington, DC \$149,958
To provide training for Midwest reporters on teacher quality and charter school issues. (2 yrs.)

New Leaders for New Schools

New York, NY \$600,000
To develop district policies to ensure that school principals are highly effective, and to help support costs to recruit and train outstanding school leaders. (2 yrs.)

New Teacher Project, Inc.

New York, NY \$200,000
To improve the recruitment and hiring of alternative certification teachers in the Chicago Public Schools. (2 yrs.)

SRI International

Menlo Park, CA \$639,171
To evaluate the quality and extent of induction programs in Illinois, Wisconsin, and Ohio, and determine the impact of induction programs on teacher retention, teacher practice, and student learning. (2 yrs.)

Teachers College, Columbia University

New York, NY \$200,560
For a project of the Hechinger Institute on Education and the Media to strengthen media coverage of teacher unions and their impact on educational quality and equity. (2 yrs.)

University of California-Santa Cruz New Teacher Center

Santa Cruz, CA \$400,000
To help coordinate advocacy efforts aimed at better statewide induction policies in Illinois, Wisconsin, and Ohio. (2 yrs.)

University of Chicago Consortium on Chicago School Research

Chicago, IL \$798,975
To study Chicago teacher turnover patterns, new teacher support programs, and teacher and school effectiveness. (2 yrs.)

University of Wisconsin-Madison Wisconsin Center for Education Research

Madison, WI \$500,000
To produce value-added student achievement results at the classroom and teacher levels in all the Milwaukee Public Schools, and to work with a subset of these schools to use the data to improve instruction. (2 yrs.)

University of Wisconsin-Madison Wisconsin Center for Education Research

Madison, WI \$300,000
To develop guides for policy makers interested in reforming teacher compensation. (2 yrs.)

Employment

Innovation Grants

Supporting other efforts to close the achievement gap, especially policy-oriented efforts to expand the supply of high-quality charter schools and small schools in Chicago, Cleveland, and Milwaukee.

Business and Professional People for the Public Interest

Chicago, IL \$150,000
To extend its partnership with Leadership for Quality Education in the Chicago Schools Alliance, a membership organization comprising diverse and innovative charter, contract, and in-district Chicago schools. (18 mos.)

Community Renewal Society

Chicago, IL \$400,000
To support *Catalyst Chicago*, a monthly newsmagazine that reports on and analyzes the progress, problems, and politics of school reform in Chicago. (2 yrs.)

Georgetown University Public Policy Institute

Washington, DC \$200,000
For a comprehensive evaluation of the Milwaukee Parental Choice Program to assess the program's impact on choice students, non-choice students, public and private schools, and educators, families, and local communities. (2 yrs.)

Illinois Network of Charter Schools

Chicago, IL \$75,000
For a data-driven public awareness campaign to provide information about Illinois' charter schools to key public and policy-maker audiences. (1 yr.)

Total Education: \$7,407,302

Many industries nationwide are currently facing skill shortages, and these shortages may worsen by the end of the decade as baby boomers retire. Contributing to the shortages is the serious skills deficit that plagues the existing workforce, especially low-wage workers. The Foundation supports policy analysis and development, research, and advocacy to help low-wage, low-skilled individuals connect to the labor market, stay employed, and advance to higher-paying jobs.

Advancing to Better Jobs

Increasing resources for and access to quality training and education programs that lead to higher-paying jobs.

Chicago Workforce Board

Chicago, IL \$170,388
For strategic planning on career advancement in retail, restaurant, and lodging; and to examine how New York City integrated its workforce development functions with its small business services department. (1 yr.)

Jobs Now Coalition

St. Paul, MN \$115,000
To support advocacy for policies to improve work-related benefits and workforce development programs in Minnesota. (18 mos.)

Northern Illinois University Institute for Work and the Economy

DeKalb, IL \$235,000
To collect and analyze information about how new low-income immigrants are integrated into the workplace. (1 yr.)

Northern Illinois University Office for Social Policy Research

DeKalb, IL \$49,500
To plan a demonstration of and design an evaluation for a project that would use an online learning curriculum to help low-wage, low-skilled workers advance in the health care industry. (6 mos.)

Environment

State of Michigan Department of Labor and Economic Growth

Lansing, MI \$155,000
To support policy analysis, design, and development for its newly reconstituted state workforce board. (18 mos.)

**University of Illinois at Chicago
Great Cities Institute**
Chicago, IL \$49,555
To design an evaluation of three pilot "bridge" programs which provide basic skills education and job placement assistance for low-wage workers. (1 yr.)

Women Employed Institute
Chicago, IL \$500,000
For advocacy to improve access to training and educational opportunities for low-wage workers in Illinois. (2 yrs.)

Workforce Strategy Center, Inc.
Brooklyn, NY \$492,000
For continued technical assistance to state advocates to improve connections between workforce development and community college systems for programs that target low-skilled, low-wage workers. (2 yrs.)

Job Retention & Stability
Expanding access to policies that improve job retention and stability, including work-related benefits such as the Earned Income Tax Credit, food stamps, health insurance, unemployment insurance, and child care.

Brookings Institution
Washington, DC \$376,000
For its project Beyond Welfare Reform: Making Ends Meet for Low-Wage Workers. (2 yrs.)

Heartland Alliance for Human Needs and Human Rights
Chicago, IL \$150,000
To support its Poverty Summit and related advocacy on policies for low-income working families. (1 yr.)

**National Employment
Law Project, Inc.**
New York, NY \$385,000
To continue technical assistance, policy analysis, and advocacy on state-level unemployment insurance reform in the Midwest that benefits low-income workers. (2 yrs.)

National Governors' Association
Washington, DC \$200,000
To complete a Governor's Guide for Advancing Low-Income Working Families and to coordinate a strategy retreat for governors' workforce policy advisors. (1 yr.)

**University of Wisconsin-Madison
Institute for Research on Poverty**
Madison, WI \$371,000
For technical assistance and policy development work on integrating welfare and work functions in state agencies. (2 yrs.)

Work, Welfare and Families
Chicago, IL \$100,000
For continued support for the Working Opportunities campaign, which promotes policies for reforming unemployment insurance and improving access to food stamps and Medicaid. (1 yr.)

Moving to Work
Strengthening policy initiatives that help the hard-to-employ gain skills to enter the labor market.

Cleveland Development Foundation
Cleveland, OH \$280,000
For its Northeast Ohio Workforce Initiatives and Transitional Employment Task Force for Hard-to-Serve Populations. (18 mos.)

Heartland Alliance for Human Needs and Human Rights
Chicago, IL \$200,000
To support the National Transitional Jobs Network. (2 yrs.)

Total Employment: \$3,828,443

Protecting the natural environment of the Great Lakes region has been a longtime commitment of the Joyce Foundation. The Foundation supports the development, testing, and implementation of policy-based, prevention-oriented, scientifically sound solutions to the environmental challenges facing the region.

Great Lakes
Supporting collaborative efforts to build a policy case for significant public investment in, and to shape implementation of, Great Lakes restoration.

Alliance for the Great Lakes
Chicago, IL \$170,000
For a collaboration with the Biodiversity Project, Canadian Environmental Law Association, and Great Lakes United to develop an agenda for Great Lakes management, and to ensure that this agenda is considered in the review of the Great Lakes Water Quality Agreement. (2 yrs.)

American Farmland Trust
Washington, DC \$800,000
To analyze federal agriculture policies and develop alternatives that reward farmers for improving environmental performance. (2 yrs.)

Biodiversity Project, Inc.
Madison, WI \$552,000
To support the national Great Lakes Restoration Campaign by conducting public opinion research and training regional groups. (2 yrs.)

**Center for Resource Economics/
Island Press**
Washington, DC \$37,208
To help publicize Peter Annin's book, *The Great Lakes Water Wars*. (1 yr.)

Center for Rural Affairs
Lyons, NE \$350,000
To enable the Midwest Sustainable Agriculture Working Group to increase participation in and achieve improvements to conservation programs, and to inform the debate about changes to farm policy. (2 yrs.)

Chicago Zoological Society
Brookfield, IL \$250,000
To organize a network of Midwest zoo and aquarium leaders to mobilize regional support for Great Lakes restoration. (2 yrs.)

Delta Institute
Chicago, IL \$116,330
To organize workshops on three Great Lakes policy issues for state agency personnel in eight states. (1 yr.)

Environmental Defence Canada, Inc.
Toronto, Ontario, Canada \$219,154
To present recommendations to Ontario and Canadian federal policy makers regarding improved environmental protections for the Great Lakes, in partnership with the Canadian Environmental Law Association. (2 yrs.)

Environmental Defense, Inc.
New York, NY \$400,000
To build a coalition to support federal agriculture policies that would reward farmers for improving environmental performance. (2 yrs.)

Environmental Integrity Project
New York, NY \$240,000
To examine how effectively the U.S. EPA and states are enforcing provisions of the Clean Water Act to control water pollution from concentrated animal feeding operations and combined sewer overflows in the Great Lakes region. (2 yrs.)

Environmental Working Group
Washington, DC \$600,000
For research, public education, earned media, and technical assistance to expand public and policy-maker understanding of the distorting effect of current federal spending on agriculture, paving the way for new funds for Great Lakes water conservation and water quality protection. (2 yrs.)

Great Lakes United
Buffalo, NY \$100,000
For program support and continued organizational development. (8 mos.)

**Institutes for Journalism &
Natural Resources**
Missoula, MT \$160,000
To provide intensive education about Great Lakes ecological issues for selected journalists. (2 yrs.)

Michigan Environmental Council
Lansing, MI \$91,647
To help develop policy solutions addressing the increasing problems posed by algae blooms in Michigan's lakes, in partnership with Tip of the Mitt Watershed Council. (1 yr.)

Michigan Land Use Institute
Beulah, MI \$80,000
For research and communications efforts to build support in the business community for protection and preservation of Michigan's water resources. (1 yr.)

**Minnesota Environmental
Partnership**
St. Paul, MN \$100,000
To advance state-level policies that protect and restore Minnesota's waters; and to organize public participation and policy-maker education around Great Lakes issues such as proposed water withdrawal rules and Great Lakes restoration. (1 yr.)

Minnesota Project
St. Paul, MN \$160,000
For policy research and farmer outreach to improve implementation of, and help farmers to enroll in, the Conservation Security Program. (2 yrs.)

National Wildlife Federation
Reston, VA \$50,000
To convene scientists and natural resource managers, in collaboration with the Great Lakes Fisheries Trust, to develop a scientific consensus on the way to manage the Great Lakes. (18 mos.)

**Natural Resources Defense
Council, Inc.**
New York, NY \$100,000
For a campaign to prevent sewer and stormwater pollution in the Great Lakes through implementation of the Clean Water Act. (1 yr.)

Northeast-Midwest Institute
Washington, DC \$300,000
To support Great Lakes restoration. (2 yrs.)

River Alliance of Wisconsin, Inc.
Madison, WI \$110,000
To support enforcement of the Clean Water Act by reforming aspects of state regulations and river management. (1 yr.)

Sierra Legal Defence Fund
Toronto, Ontario, Canada \$75,000
For a project, in partnership with River-Sides, to persuade Ontario to examine the ecological costs of road salts and explore alternative road salt management practices that may be more effective while minimizing ecosystem impacts. (1 yr.)

Gun Violence

Soil and Water Conservation Society *Ankeny, IA \$100,000*

To identify ways in which agriculture policy changes could improve water quality in the Great Lakes region, and to provide information to policy makers and advocates on the role of conservation in future federal agricultural support programs. (1 yr.)

Soil and Water Conservation Society *Ankeny, IA \$75,000*

To develop an action plan on the risks posed by climate change to the Great Lakes region's water quality and soil. (1 yr.)

Valerie Denney Communications *Chicago, IL \$100,000*

To support a campaign for Great Lakes restoration. (1 yr.)

Theodore Roosevelt **Conservation Partnership** *Washington, DC \$300,000*

To work with like-minded organizations and the agricultural community to create, educate the public about, and advocate for alternatives to direct commodity payments that comply with trade regulations and provide greater benefits to U.S. taxpayers. (2 yrs.)

Wisconsin Agricultural **Stewardship Initiative** *Madison, WI \$250,000*

For the Dairy Gateway Project, an effort to overcome rural-suburban conflicts over the presence and impact of agriculture, test how new state policies can reduce the impact of agriculture on the environment, and maintain agriculture as an economically and environmentally sustainable presence in the Great Lakes watershed. (1 yr.)

Healthy Rivers

Supporting efforts to coordinate conservation and restoration in a handful of selected Great Lakes tributary watersheds and to document the benefits of those efforts.

Center for Neighborhood Technology *Chicago, IL \$205,000*

To test a Web-based calculator that allows decision makers to weigh the costs and benefits of engineered vs. "green" construction to manage stormwater, and to share results with those who make decisions regarding stormwater management investments. (1 yr.)

Transportation

Creating transportation alternatives to reduce overreliance on automobiles.

Funders' Network for Smart Growth **and Livable Communities** *Coral Gables, FL \$50,000*

To build foundation support for transportation issues, especially at the state and regional level. (1 yr.)

Metropolitan Planning Council *Chicago, IL \$150,000*

To advocate for and support smart growth and transit-friendly decision-making in northeastern Illinois. (1 yr.)

Surface Transportation Policy Project *Washington, DC \$150,000*

To allow it to transition to other sources of funding and support implementation of recently passed transportation legislation. (1 yr.)

Other

Center for Rural Affairs *Lyons, NE \$125,000*

To finish collecting information on the implementation of federal agricultural conservation policy, distill that information into policy options for the future, and build a rural constituency through outreach and education. (1 yr.)

Environmental Grantmakers Association **Rockefeller Family Fund, Inc.** *New York, NY \$40,000*

To support a network of funders focused on sustainable agriculture, and to support its efforts to educate grant makers, particularly regional, state, and local grant makers, on the importance of public policy work. (1 yr.)

Lake Michigan Air Directors' **Consortium** *Des Plaines, IL \$100,000*

To develop a framework for a voluntary climate registry for the states of Illinois, Indiana, Michigan, Ohio, and Wisconsin. (1 yr.)

University of Maryland Foundation *College Park, MD \$100,000*

To enable the Environmental Compliance Consortium to collect the lessons learned through its work with state environmental protection agencies and put them in the hands of appropriate audiences. (1 yr.)

Total Environment: \$6,806,339

Gun violence takes nearly 30,000 American lives each year, second only to automobile crashes among causes of injury-related death. But while safety regulations have dramatically reduced highway fatalities, firearms remain virtually unregulated. The Gun Violence Program supports efforts to bring the firearms industry under comprehensive consumer product health and safety oversight as the most promising long-term strategy for reducing deaths and injuries from handguns and other firearms.

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State-Based Policy Initiatives

Supporting state-based policy initiatives in Illinois and Wisconsin that can achieve meaningful reforms and provide a model for gun policy nationwide, and supporting state groups in other Midwest states to expand their membership, funding levels, and organizational capacity to promote meaningful gun policy.

Illinois Council Against **Handgun Violence** *Chicago, IL \$325,000*

For continued support of its public, media, and policy-maker education efforts to promote firearm policy reform in Illinois. (1 yr.)

Mark Karlin & Associates *Chicago, IL \$650,000*

To support the continued efforts of its Freedom States Alliance, a project to promote financial self-sufficiency and effective media, public, and policy-maker education efforts among gun violence prevention groups, especially those in Illinois and Wisconsin. (18 mos.)

WAVE Educational Fund *Milwaukee, WI \$250,000*

To continue its public, policy-maker, and media education efforts to prevent firearm violence in Wisconsin. (1 yr.)

State-Based Research

Supporting focused research to inform state policy efforts.

Money and Politics

A well-functioning representative democracy requires open and accountable government, informed citizen participation, competition of ideas and candidates, fair and equal application of the laws, a high level of public trust, and the protection of fundamental rights. The Foundation promotes these ends through support for federal- and state-level initiatives that combine policy research and advocacy, public and policy-maker education, coalition-building, news media outreach, and participation in official proceedings, including litigation.

Judicial Reform

Protecting the independence and impartiality of the state courts.

American Bar Association **Fund for Justice and Education** *Chicago, IL \$138,000*

To support efforts to complete a comprehensive revision of the Association's Model Code of Judicial Conduct and promote its adoption by the states. (1 yr.)

Georgetown University **Justice at Stake Campaign** *Washington, DC \$360,000*

To support judicial reform initiatives in targeted Midwest states by providing local groups assistance with strategic planning, communications, message development, and public education. (2 yrs.)

Campaign Finance Reform

Improving federal and state campaign finance laws.

Campaign Legal Center *Washington, DC \$200,000*

To promote full compliance with the Bipartisan Campaign Reform Act and other related federal laws; to clarify and enforce broadcasters' public interest obligations; and to develop a research agenda and advocacy strategy on redistricting reform. (1 yr.)

Johns Hopkins University **School of Hygiene and Public Health** *Baltimore, MD \$181,117*

To share research findings on strategies for reducing gun violence with Chicago and Milwaukee city officials, law enforcement, advocates, and the media. (2 yrs.)

National Opinion Research Center *Chicago, IL \$39,499*

To add a selection of gun-related questions to its 2006 General Social Survey. (2 yrs.)

University of Pennsylvania **Firearm & Injury Center at Penn** *Philadelphia, PA \$300,000*

To develop a national research agenda on firearms, to support and conduct interdisciplinary firearms research, and to help translate research into policy and practice. (18 mos.)

Violence Policy Center *Washington, DC \$450,000*

To provide research and technical assistance to Midwest-based gun violence prevention advocates. (1 yr.)

National Violent Death *Reporting System*

Strengthening public and policy-maker support for full implementation of the National Violent Death Reporting System.

Harvard University **School of Public Health** *Boston, MA \$700,000*

To support the Harvard Injury Control Research Center's technical assistance to the National Violent Death Reporting System, to conduct policy-relevant firearm research, and to increase its communications capacity. (2 yrs.)

Other

Legal Community Against Violence *San Francisco, CA \$380,000*

For general support. (2 yrs.)

Total Gun Violence: \$3,275,616

Culture

Illinois Campaign for Political Reform *Chicago, IL \$540,000*

To support policy research, development, advocacy, and public education activities on a range of campaign finance, governmental ethics, judicial independence, and media reform issues. (2 yrs.)

National Institute on Money in State Politics

Helena, MT \$80,000
For the Focus on the Midwest project. (1 yr.)

State of Wisconsin Ethics Board

Madison, WI \$75,000
For the creation of a Web site and searchable database of the annual financial interest statements of Wisconsin government officials. (1 yr.)

University of Illinois at Springfield Center for State Policy and Leadership

Springfield, IL \$103,410
To support the Sunshine Project, to increase public knowledge about the role of money in Illinois politics by collecting, coding, and analyzing campaign contributions and expenditures. (2 yrs.)

University of Wisconsin-Madison Department of Political Science

Madison, WI \$20,900
To support the Wisconsin Campaign Finance Project, which collects, evaluates, and disseminates data on state-based public campaign funding systems. (1 yr.)

William J. Brennan Jr. Center for Justice

New York, NY \$300,000
To support the Campaign Finance Reform Project and Fair Courts Project. (2 yrs.)

Communications Policy

Promoting media and communications policy reforms to ensure informed citizen participation in civic life.

Center for Digital Democracy

Washington, DC \$50,000
For communications policy research, development, and advocacy aimed at improving the quality of the civic, public affairs, and election-related information provided by broadcasters to the public. (1 yr.)

Free Press

Northampton, MA \$30,000
To support the 2005 National Conference on Media Reform. (6 mos.)

Georgetown University New America Foundation

Washington, DC \$200,000
To support the Spectrum Policy Program, an initiative that aims for increased use of the airwaves for public and democratic purposes and greater clarity about the public interest obligations of spectrum users in a digital era. (2 yrs.)

WisconsinEye Public Affairs Network, Inc.

Madison, WI \$250,000
For the development of the country's first privately funded, independent, and nongovernmental state public affairs television network. (2 yrs.)

Other

Increasing electoral competition through fair, nonpartisan redistricting; and strengthening the capacities of state-based coalitions and groups in the Midwest to develop and promote broad political reform agendas tailored to their states' needs.

Cato Institute

Washington, DC \$80,000
For the Project on Electoral Competition, a joint initiative with the Brookings Institution to examine the state of political competition in the United States, explore options for enhancing competition, and develop an integrated benchmarked reform plan. (2 yrs.)

Total Money and Politics: \$2,427,310

The Foundation supports the efforts of cultural institutions, primarily in Chicago, to serve and represent the city's diverse populations. It is interested in projects that bring diverse audiences together to share common cultural experiences and encourage more of Chicago's people to see the arts as integral to their lives.

Access

Encouraging mid-sized and major Chicago cultural organizations to increase the participation of people of color in their audiences, boards, and staff.

Chicago Children's Theatre

Chicago, IL \$50,000
To support an audience development and cultivation plan to reach diverse audiences. (1 yr.)

Chicago Sinfonietta, Inc.

Chicago, IL \$75,000
To support its concert season and audience development strategies. (1 yr.)

Columbia College Dance Center

Chicago, IL \$50,000
To support audience building, diversification, and participation initiatives associated with its LatinoContempo Festival in spring 2006. (1 yr.)

Museum of Contemporary Art

Chicago, IL \$75,000
To support its Performance Series, which includes a two-day Hip-Hop Festival featuring 2005 Joyce Award winner Will Power, and Joyce grantees Congo Square and Young Chicago Authors. (1 yr.)

Community-Based Arts

Increasing the availability of high-quality cultural programs in specific low-income, diverse communities, and strengthening the infrastructure and leadership of culturally specific and community-based arts organizations.

Arts & Business Council of Chicago

Chicago, IL \$50,000
To continue support of a capacity-building initiative for three Joyce grantees, which includes management assessment, board development, financial management, marketing, and evaluation. (1 yr.)

Black Ensemble Theater Corporation

Chicago, IL \$75,000
To implement an organizational development plan that will help the theater move into a permanent space. (1 yr.)

Cambodian Association of Illinois

Chicago, IL \$47,600
To support the salary of the first director of the Cambodian American Heritage Museum and Killing Fields Memorial. (1 yr.)

Congo Square Theatre Company

Chicago, IL \$50,000
To support audience and board development, fund-raising, and earned revenue strategies. (1 yr.)

Local Initiatives Support Corporation

New York, NY \$400,000
For a new initiative to develop cultural plans for three Chicago neighborhoods: South Chicago, Humboldt Park, and Albany Park. (2 yrs.)

Luna Negra Danza Teatro

Chicago, IL \$50,000
To support its capacity-building initiatives, which include developing strategies to enhance marketing and development, increasing its board, expanding educational programs, and bringing the artistic staff to full-time status. (1 yr.)

Puerto Rican Arts Alliance

Chicago, IL \$25,000
To support program development and evaluation, marketing, and fund-raising. (1 yr.)

Creativity

Stimulating the commissioning and production of new works by artists of color and expanding artistic development opportunities available to them.

Alliance of Artists Communities

Providence, RI \$180,000
To support Midwestern Voices & Visions, a project designed to encourage minority participation at midwestern artist communities. (3 yrs.)

The Joyce Awards

Supporting Midwest cultural organizations in the commissioning and production of new works in dance, music, theater, and visual arts by artists of color.

American Composers Forum

St. Paul, MN \$50,000
To support the commission of a new classical guitar concerto by composer Jerod Impichchachaaha' Tate. (3 yrs.)

Chicago Cultural Center Foundation

Chicago, IL \$50,000
To support the commission of 20 new works for Soundsuits, a visual art exhibition/installation by artist Nick Cave. (1 yr.)

Cleveland Modern Dance Association

Cleveland, OH \$50,000
To support the commission of a new dance by choreographer Jawole Willa Jo Zollar. (3 yrs.)

Indiana Repertory Theatre

Indianapolis, IN \$50,000
To support the commission of a new play by playwright Charles Smith. (3 yrs.)

Total Culture: \$1,327,600

Special Opportunities

The Foundation makes some grants outside its primary program areas, for projects that encourage debate on timely public policy issues, reflect concern for social equity or regional cooperation, or explore connections among the Foundation's programs.

Alliance for Justice, Inc.

Washington, DC \$75,000
To support the Nonprofit Advocacy Project and Foundation Advocacy Initiative, programs designed to strengthen the role of the nonprofit and philanthropic communities in public policy debates. (1 yr.)

Center for Governmental Studies

Los Angeles, CA \$200,000
To support the development of PolicyArchive.Net, a new online source for foundation-funded policy research. (2 yrs.)

Chicago Council on Foreign Relations

Chicago, IL \$40,000
To support its project and task force on American Muslims and United States Foreign Policy. (1 yr.)

Friends of the Parks

Chicago, IL \$50,000
To support the second phase of its Cook County Forest Preserve Initiative. (2 yrs.)

HistoryMakers

Chicago, IL \$50,000
To support the salary of a director of development to manage fund-raising activities for the organization. (1 yr.)

President's Discretionary Grants

Independent Sector

Washington, DC \$50,000

To support the Panel on the Nonprofit Sector in developing for Congress actions to strengthen the governance and ethical practices of the nonprofit sector. (1 yr.)

Leadership Conference on Civil Rights Education Fund

Washington, DC \$150,000

For the Voting Rights Act Education Project. (2 yrs.)

National Public Radio, Inc.

Washington, DC \$125,000

To support expanded coverage of news and issues that have particular relevance to the Midwest region. (2 yrs.)

University of Chicago

Oriental Institute

Chicago, IL \$100,000

To support the Ancient Nubian Heritage Project, a new permanent museum exhibit, and its companion interactive education program. (2 yrs.)

Vera Institute of Justice, Inc.

New York, NY \$300,000

For the Milwaukee portion of a three-city project that would examine the nature and incidence of racial profiling that may result from the exercise of prosecutors' discretionary authority and develop procedures and practical tools for reducing such discrimination. (3 yrs.)

WBEZ Alliance, Inc.

Chicago, IL \$200,000

To support establishing satellite bureaus in Englewood and Humboldt Park. (18 mos.)

*Total Special Opportunities:
\$1,340,000*

The President's Discretionary Fund makes small, expeditious grants that advance Foundation priorities and support other activities of interest.

African American Arts Alliance of Chicago

Chicago, IL \$15,000

For salary support.

Alliance for Nonprofit Management

Washington, DC \$2,500

To support the Alliance for Nonprofit Management/National Council of Nonprofit Associations Joint Conference in Chicago.

Applied Research Center

Chicago, IL \$10,000

To support the Advancing Racial Justice Conference.

Art Council, Inc.

New York, NY \$5,000

To support Chicago visual artists.

BoardSource

Washington, DC \$5,000

To support efforts to build effectiveness and accountability among nonprofit organizations.

Brookings Institution

Washington, DC \$20,000

To support the labor market and human capital components of a research and policy development initiative to improve the economic vitality of the Great Lakes region.

CARE USA

Chicago, IL \$5,000

To build its Chicago presence.

Center for Economic Progress

Chicago, IL \$20,000

To engage community-based organizations in national policy and advocacy work promoting tax credits and benefits for low-income families.

Center for Law and Social Policy

Washington, DC \$19,000

To convene a strategy meeting and explore the feasibility of a national early care and education technical assistance network.

Champaign County Health Care Consumers

Champaign, IL \$15,000

For the 2005 Gun Regulation Project.

Chicago Access Corporation

Chicago, IL \$15,534

For broadcast coverage of the National Conference on Media Reform.

Chicago Human Rhythm Project

Chicago, IL \$5,000

To support a leadership transition plan.

Chicago Metropolitan Association for the Education of Young Children

Chicago, IL \$20,000

To support making traditional and alternative certification programs accessible to child care teachers currently employed in community agencies.

Chicago Public Education Fund

Chicago, IL \$2,350

General support.

Chicago Public Education Fund

Chicago, IL \$20,000

For a conference to explore how Chicago might grow, recruit, and retain National Board Certified teachers in high-need schools.

Chicago Sinfonietta, Inc.

Chicago, IL \$10,000

To support an audience development initiative.

Children's Home & Aid Society of Illinois

Chicago, IL \$20,000

To develop a strategic plan to strengthen its policy capacity.

Cleveland Foundation

Cleveland, OH \$20,000

For the Fund for Our Economic Future, a collaboration of Northwest Ohio philanthropic organizations to drive transformational change in the regional economy.

Columbia College Chicago Center for Arts Policy

Chicago, IL \$10,000

To develop a study of the work of teaching artists in Chicago, including ways to expand their opportunities and improve their status.

Congo Square Theatre Company

Chicago, IL \$10,000

To support staff salaries and general operations.

Deeply Rooted Productions

Chicago, IL \$5,000

To support a community dance festival at the South Shore Cultural Center.

Donors Forum of Chicago

Chicago, IL \$10,000

For public policy work.

Donors Forum of Chicago

Chicago, IL \$20,000

To support expanding its geographic reach and ongoing public policy work.

Foundation Center

New York, NY \$20,000

Program support for 2005.

Gillourey Institute

Chicago, IL \$12,500

To support the production of "Ten Acrobats in an Amazing Leap of Faith."

Global Philanthropy Partnership

Chicago, IL \$10,000

For a workshop bringing together business, government, NGO, and union leaders to discuss short- and long-term steps to address climate change from a Midwest perspective.

Grantmakers for Education

Portland, OR \$7,500

To support a grantmaker briefing on the viability of teacher union, school district, and community collaborations to close student achievement gaps.

Grantmakers in the Arts

Seattle, WA \$10,000

For its annual conference.

Great Lakes Fishery Commission

Ann Arbor, MI \$8,600

To engage marine transportation experts to peer-review a study on the costs and benefits of ocean-going shipping in the Great Lakes.

Hubbard Street Dance Chicago

Chicago, IL \$20,000

For an audience development and marketing plan to help dance companies reach African American and Latino audiences.

Human Rights Watch

New York, NY \$10,000

To sponsor an art exhibition at Chicago's Spertus Institute of Jewish Studies of drawings by children from Darfur, Sudan.

Illinois Council Against Handgun Violence

Chicago, IL \$5,198

To support the production costs of the booklet, *A Parent's Guide to Preventing Gun Violence in the Home, School, and Community*.

Independent Sector

Washington, DC \$20,000

To support the Independent Sector's panel on governance of charitable organizations.

International Association of Chiefs of Police

Alexandria, VA \$19,968

To plan the 2007 Great Lakes Summit.

John F. Kennedy Library Foundation

Boston, MA \$8,500

Support of its education program.

Kent State University Institute for the Study and Prevention of Violence

Kent, OH \$20,000

For the Mohoning Valley Violent Death Reporting System project.

Ko-Thi, Inc.

Milwaukee, WI \$20,000

To support its fall 2006 concert.

Lifetrack Resources, Inc.

St. Paul, MN \$4,964

To cover travel-related expenses enabling the attendance of a small Minnesota delegation at the 5th Annual National Transitional Jobs Conference.

Luna Negra Danza Teatro

Chicago, IL \$3,000

General support.

Madison Area Technical College

Madison, WI \$20,000

To build the funding, training, and other design elements of a career advancement system for low-wage workers.

Metropolitan Milwaukee Association of Commerce

Milwaukee, WI \$20,000

To support implementation of a performance-based budgeting and management system within Milwaukee Public Schools.

Mikva Challenge Grant Foundation, Inc.

Chicago, IL \$10,000

For the Mikva Challenge Active Citizen Project.

Minnesota Alliance for Progressive Action Education Fund

St. Paul, MN \$8,000

For program planning.

Minnesota Environmental Initiative, Inc.

Minneapolis, MN \$20,000

To study the feasibility of developing a statewide common agenda for the environment.

Membership Grants

National Commission on Teaching and America's Future
Washington, DC \$20,000
To help cover the costs of Chicago and Milwaukee data collection for a study of teacher turnover.

National Governors Association Center for Best Practices
Washington, DC \$15,000
For a publication on teacher compensation.

New Hope Project, Inc.
Milwaukee, WI \$20,000
To continue promoting the transitional jobs model in Wisconsin, with a special focus on non-custodial parents and those who have been convicted of a crime.

Ohio Citizen Action Education Fund
Columbus, OH \$8,000
For program planning.

Protestants for the Common Good
Chicago, IL \$3,375
To support a summer intern from the Department of Children and Family Services.

Sargent Shriver National Center on Poverty Law, Inc.
Chicago, IL \$20,000
To support its unexpected work assisting victims of Hurricanes Katrina and Rita.

St. Leonard's House
Chicago, IL \$10,000
To support advocacy to expand employment opportunities for ex-offenders and to help disseminate findings of the Mayoral Policy Caucus on Prisoner Re-entry.

State & Territorial Injury Prevention Directors Association
Atlanta, GA \$20,000
To conduct an educational forum regarding NVDRS for all the national partners of the program.

Teatro Vista Theatre with A View
Chicago, IL \$2,000
For strategic planning.

University of Illinois at Urbana-Champaign College of Communications
Urbana, IL \$15,000
For the conference: Can Freedom of the Press Survive Media Consolidation?

University of Pennsylvania
Philadelphia, PA \$12,000
To conduct a meeting to develop an interdisciplinary research agenda for firearm injury research.

University of Pennsylvania
Philadelphia, PA \$10,000
For support of a Philadelphia gun crime deterrence study.

Urban Institute
Washington, DC \$9,998
For a policy brief comparing the employment and income status of U.S.-born minorities and immigrants.

WFMT-FM Radio
Chicago, IL \$5,000
For cultural programming.

Women in Government
Washington, DC \$18,000
To support a strategic planning session.

Women's Self-Employment Project, Inc.
Chicago, IL \$20,000
For general support.

Young Chicago Authors
Chicago, IL \$15,000
To develop a model for youth literary programs.

Total Discretionary Grants: \$785,987

Council on Foundations
Washington, DC \$39,600
Membership grant (1 yr.)

Donors Forum of Chicago
Chicago, IL \$24,300
Membership grant (1 yr.)

Environmental Grantmakers Association Rockefeller Family Fund, Inc.
New York, NY \$6,160
Membership grant (1 yr.)

Grantmakers for Education
Portland, OR \$6,500
Membership grant (1 yr.)

Grantmakers in Health
Washington, DC \$2,000
Membership grant (1 yr.)

Grantmakers in the Arts
Seattle, WA \$2,500
Membership grant (1 yr.)

Independent Sector
Washington, DC \$12,500
Membership grant (1 yr.)

Total Membership Grants: \$93,560

Joyce Foundation Employee Matching Grants Program: \$30,967

*Total 2005 Grants
\$27,323,124*

Summary of 2005 Grants

Category	Number	Approved	Paid
Education	25	\$ 7,407,302	\$ 7,888,380
Employment	16	3,828,443	6,302,775
Environment	35	6,806,339	8,385,304
Gun Violence	9	3,275,616	3,056,117
Money and Politics	14	2,427,310	2,818,105
Culture	16	1,327,600	1,427,350
Special Opportunities	11	1,340,000	902,270
Discretionary, Memberships, and Employee Matching	70	910,514	873,914
Total Grants	196	\$27,323,124	\$31,654,215

Independent Auditors' Report

To the Board of Directors, The Joyce Foundation

We have audited the accompanying statements of financial position of The Joyce Foundation as of December 31, 2005 and the related statements of activities and cash flows for the year then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audit. The financial statements as of and for the year ended December 31, 2004 were audited by other auditors whose report dated March 24, 2005 expressed an unqualified opinion on those statements.

We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Foundation's internal control over financial reporting. Accordingly, we

express no such opinion. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The Joyce Foundation as of December 31, 2005 and the changes in its net assets and its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America.

BDO Seidman, LLP

Chicago, Illinois

March 16, 2006

Statements of Financial Position

December 31,	2005	2004
Assets		
Current Assets		
Cash	\$ 232,933	\$ 331,574
Collateral received under securities lending program (Note 1)	64,882,694	51,420,080
Due from brokers for sales of securities	521,104	538,615
Investments (Note 3)		
Short-term money market investments	11,939,032	34,951,006
U.S. Government and corporate bonds	152,247,057	133,871,380
Stocks	502,240,987	483,405,619
Investment partnerships	159,662,540	153,689,309
Program-related investments	323,000	346,000
Real estate and mineral rights	442,761	442,761
Prepaid federal excise tax	-	151,518
Other assets	104	1,174
Total Assets	\$ 892,492,212	\$ 859,149,036
Liabilities and Net Assets		
Current Liabilities		
Accounts payable and accrued expense	\$ 135,088	\$ 24,810
Due to brokers for purchases of securities	1,405,534	1,675,281
Grants payable	11,186,289	16,068,980
Payable under securities lending program	64,882,694	51,420,080
Current federal excise tax payable	90,920	-
Deferred federal excise tax payable	1,750,760	1,616,000
	79,451,285	70,805,151
Net assets – unrestricted	813,040,927	788,343,885
Total Liabilities and Net Assets	\$ 892,492,212	\$ 859,149,036

See accompanying notes to financial statements.

Statements of Activities

Year ended December 31,	2005	2004
Revenue		
Gain on marketable investments		
Net realized	\$ 15,969,255	\$ 36,619,008
Net unrealized	13,594,249	24,234,457
Partnership income	12,791,225	18,271,662
Interest income	1,079,390	1,169,793
Dividend income	15,872,137	13,357,073
Other income	490,094	745,160
	59,796,350	94,397,153
Investment expenses	2,220,995	1,914,163
Net investment revenue	57,575,355	92,482,990
Expenditures		
Grants awarded (grant payments made, net of grants returned, of \$30,571,102 in 2005 and \$34,417,665 in 2004)	26,562,335	41,787,664
General and administrative expenses	4,697,940	4,422,577
Federal excise tax	1,483,278	739,000
Deferred federal excise tax	134,760	528,000
Total expenditures	32,878,313	47,477,241
Increase in Unrestricted Net Assets	24,697,042	45,005,749
Unrestricted Net Assets, at beginning of year	788,343,885	743,338,136
Unrestricted Net Assets, at end of year	\$ 813,040,927	\$ 788,343,885

See accompanying notes to financial statements.

Statements of Cash Flows

Year ended December 31,	2005	2004
Cash Flows From Operating Activities		
Increase in unrestricted net assets	\$ 24,697,042	\$ 45,005,749
Realized gain on sales of investments	(15,969,255)	(36,619,008)
Increase in market value of investments	(13,594,249)	(24,234,457)
Partnership income	(12,791,225)	(18,271,662)
Contribution of securities	-	(487,500)
Changes in		
Other assets	1,070	55,444
Federal excise tax	242,438	524,000
Grants payable	(4,882,691)	7,369,999
Accounts payable and other accruals	110,278	24,810
Deferred federal excise tax payable	134,760	528,000
Net cash used in operating activities	(22,051,832)	(26,104,625)
Cash Flows From Investing Activities		
Proceeds from sales of stocks and bonds	259,855,797	332,702,717
Purchases of stocks and bonds	(267,755,574)	(325,061,443)
Investments in partnerships	(6,297,836)	(6,819,000)
Distributions from partnerships	13,115,830	51,139,360
Net sales and purchases of short-term money market investments	23,011,974	(25,789,061)
Sales of program-related investments	23,000	23,000
Change in payable under securities lending program	13,462,614	19,212,566
Change in collateral received under securities lending program	(13,462,614)	(19,212,566)
Net cash provided by investing activities	21,953,191	26,195,573
(Decrease) Increase in Cash	(98,641)	90,948
Cash, at beginning of year	331,574	240,626
Cash, at end of year	\$ 232,933	\$ 331,574

See accompanying notes to financial statements.

Notes to Financial Statements

Note 1

Nature of Activities and Significant Accounting Policies

Nature of Activities

The Joyce Foundation (the "Foundation") is a nonprofit organization that focuses on a limited number of carefully defined program areas, primarily education, employment, environment, gun violence, money and politics, and culture.

The financial statements have been prepared in conformity with accounting policies applicable to nonprofit organizations.

Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles in the United States requires management to make estimates and assumptions affecting the amounts reported in the financial statements and accompanying notes. Actual results could differ from the estimates.

Income Taxes

The Foundation is exempt from federal income taxes under Section 501(c)(3) of the Internal Revenue Code and applicable state law. However, as a private charitable foundation, it is subject to a federal excise tax based on net investment income. Deferred federal excise tax represents taxes provided on the net unrealized appreciation on investments, using a rate of 2%.

Investments

Marketable securities and exchange-traded futures contracts are reflected at market value based on quoted prices. Investment partnerships and real estate and mineral rights are reflected at approximate fair value. Realized and unrealized gains and losses from changes in market values are reflected in the statements of activities.

Securities Lending

The Foundation participates in a securities lending program administered by the Foundation's custodian. Under this program, securities are periodically loaned to selected brokers, banks or other institutional borrowers of securities, for which collateral in the form of cash, letters of credit, or government securities may not be less than 102% of the market value of the loaned securities plus accrued but unpaid interest or dividends. The Foundation invests this collateral received in equity securities. The Foundation bears the risk that it may experience delays in the recovery or even loss of rights in the collateral should the borrower of the securities fail to meet its obligations.

Fixed Assets

The cost of leasehold improvements, furniture and equipment is charged to expense in the year they are acquired rather than being capitalized, as the amounts involved are deemed to be immaterial.

Grants

Grants specifically committed to designated grantees, but not yet paid, are accrued as grants payable.

Translation of Foreign Currencies

Assets and liabilities denominated in foreign currencies are translated at year-end exchange rates. Revenue and expense items are translated at average rates of exchange for the year. Translation gains and losses are included in income.

Concentration of Credit Risk

The Foundation maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits. The Foundation has not experienced any losses in such accounts. Management believes that the Foundation is not exposed to any significant credit risk on cash.

Reclassifications

Certain 2004 amounts have been reclassified to conform with the current year presentation without affecting previously reported net assets or changes in net assets.

Note 2

Fair Value of Financial Instruments

Substantially all of the Foundation's assets and liabilities are considered financial instruments and are either already reflected at fair value or are short-term or replaceable on demand. Therefore, their carrying amounts approximate their fair values.

Note 3

Investments

Foundation investments, other than investment partnerships and program-related investments described below, consist of the following:

2005

Short-Term Money Markets Investments

Cost \$11,939,032 Market Value \$11,939,032

U.S. Government and Corporate Bonds

Cost 156,295,611 Market Value 152,247,057

Stocks

Cost 410,304,434 Market Value 502,240,987

Total

Cost \$578,539,077 Market Value \$666,427,076

2004

Short-Term Money Markets Investments

Cost \$34,951,006 Market Value \$34,951,006

U.S. Government and Corporate Bonds

Cost 136,214,465 Market Value 133,871,380

Stocks

Cost 406,768,783 Market Value 483,405,619

Total

Cost \$577,934,254 Market Value \$652,228,005

Investment Partnerships

The Foundation holds limited partnership interest in various venture capital partnerships, all of which invest in and trade marketable securities. The Foundation holds another limited partnership interest that invests in and trades marketable securities and futures contracts. The partnerships reflect these investments at market value. The Foundation's share of its net assets and income or losses is reflected in the financial statements using the equity method of accounting.

Partnership investment income includes interest, dividends, and realized gains or losses, net of partnership expense.

The Foundation had open commitments to make additional partnership investments of \$30,106,557 and \$26,404,393 at December 31, 2005 and 2004, respectively. Returned unused capital contributions may be recalled and all distributions are subject to repayment to cover liabilities of the partnerships. The amount of this contingency cannot be determined.

Program-Related Investments

The Foundation had three program-related investments at December 31, 2005 and 2004.

\$23,000 (\$46,000 at December 31, 2004) promissory notes due on July 1, 2006 from Shorebank Corporation, Chicago, Illinois. Interest is earned at 2/3 of prime per year (4.67% at 12/31/05).

Purpose To encourage the revitalization of the Austin community of Chicago.

\$75,000 callable loan to the Women's Self-Employment Project, Inc., Chicago, Illinois. Interest is earned at 3% per year.

Purpose To capitalize revolving loan fund to assist low-income women in establishing businesses to increase their self-sufficiency based on the Bangladesh Grameen Bank model.

\$225,000 investment in Series E preferred stock of the Shorebank Corporation, Chicago, Illinois.

Purpose To support rural economic development involving expert technical assistance, venture investing, and small business lending to expand economic opportunities of low-income people in the Upper Peninsula of Michigan.

As the market value of these assets is not readily available, these investments are recorded at cost.

Note 4

Pension Plan

The Foundation maintains a defined contribution pension plan for eligible employees. Employer contributions are discretionary and are calculated as a percentage of salaries as determined by the Board of Directors. Total employer and employee contributions may not exceed the lesser of 100% of salaries or \$42,000 per employee. Pension expense was \$286,075 for 2005 and \$279,966 for 2004.

Note 5

Commitments

The Foundation leases office space under a noncancelable operating lease that provides for minimum monthly payments through January 31, 2008, plus additional amounts to cover the proportionate share of the cost of operating the property. Rent expense totaled \$375,467 in 2005 and \$347,155 in 2004. At December 31, 2005, minimum payments under this lease are as follows:

Amount	
2006	\$ 153,748
2007	159,919
2008	13,369
Total	\$ 327,036

Note 6

Derivative Financial Instruments

In connection with its investing activities, the Foundation enters into transactions involving a variety of derivative financial instruments, primarily exchange-traded financial futures contracts. These contracts provide for the delayed delivery or purchase of financial instruments at a specified future date at a specified price or yield.

Derivative financial instruments involve varying degrees of off-balance-sheet market risk, whereby changes in the market values of the underlying financial instruments may result in changes in the value of the financial instruments in excess of the amounts reflected in the statements of financial position. Exposure to market risk is influenced by a number of factors, including the relationships between financial instruments and the Foundation's investment holdings and the volatility and liquidity in the markets in which the financial instruments are traded. In many cases, the use of financial instruments serves to modify or offset market risk associated with other transactions and, accordingly, serves to decrease the Foundation's overall exposure to market risk.

Derivative financial instruments can also be subject to credit risk, which arises from the potential inability of counterparties to perform in accordance with the terms of the contract. The Foundation's exposure to credit risk associated with counterparty nonperformance is limited to the current cost to replace all contracts in which the Foundation has a gain. Exchange-traded derivative financial instruments, such as financial futures contracts, generally do not give rise to significant counterparty exposure due to the cash settlement procedures for daily market movements and the margin requirements of the individual exchanges.

The Foundation's net (losses) gains from futures contracts were (\$306,851) in 2005 and \$632,579 in 2004.

About the Foundation

The Joyce Foundation was created in 1948 by Beatrice Joyce Kean of Chicago. The Joyce family wealth, based on lumber and sawmill interests, was left to the Foundation when Mrs. Kean died in 1972. Over the years, the Foundation has continued to respond to changing social needs, contributing nearly \$555 million in grants to groups working to improve the quality of life in the Great Lakes region.

The Joyce Foundation is committed to improving public policy through its grant program. Accordingly, the Foundation welcomes grant requests from organizations that engage in public policy advocacy. Federal tax law prohibits private foundations from funding lobbying activities.

The Foundation may support organizations engaged in public policy advocacy by either providing general operating support or by funding educational advocacy such as nonpartisan research, technical assistance, or examinations of broad social issues. The Foundation encourages grant applicants to describe the nature of advocacy activities in their grant applications and reports, so that the Foundation can ensure that it is in compliance with federal tax laws. For further information on the relevant federal tax laws, grant applicants should consult their tax advisors.

Programs

Our program areas are Education, Employment, Environment, Gun Violence, Money and Politics, and Culture. We focus our grant making on initiatives that promise to have an impact on the Great Lakes region, specifically the states of Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin.

A limited number of environment grants are made to organizations in Canada. Education grant making focuses on Chicago, Cleveland, and Milwaukee.

Culture grants are primarily focused on the Chicago metropolitan area, except for the Joyce Awards, which extend to other Midwest cities. We do not generally support capital proposals, endowment campaigns, religious activities, commercial ventures, direct service programs, or scholarships.

Education

The Joyce Foundation supports efforts to ensure that all Midwest children receive an education that prepares them for lives as thoughtful and productive citizens. In particular, the Education Program works to close the achievement gap that separates low-income and minority children from their peers by expanding their access to educational opportunities in early childhood, improving the quality of teachers they encounter in school, and exploring such innovations as small schools and charter schools.

Program priorities are:

Teacher quality The Foundation supports efforts to improve federal, state, and district policies so that high-need schools in Chicago, Cleveland, and Milwaukee can attract and retain first-rate teachers. Efforts include research, policy development, model programs, advocacy, and evaluation related to:

- Reform of recruiting and hiring systems
- Reform of teacher evaluation and compensation systems
- New teacher support
- Alternative routes to teaching
- Principal quality

Early childhood education The Foundation supports policy initiatives aimed at making preschool accessible to all three- to five-year-olds in Illinois and Wisconsin through a mixed delivery system that includes schools and community-based settings. Efforts include research, public education, demonstration projects, and advocacy designed to:

- Identify effective strategies for implementing high-quality pre-kindergarten in schools and community-based settings
- Build public and policy-maker support for implementing such programs

Innovation grants A small portion of program funds is reserved for other outstanding opportunities to close the achievement gap, especially policy-oriented efforts to expand the supply of high-quality charter schools and small schools in Chicago, Cleveland, and Milwaukee.

Employment

Many industries nationwide are currently facing skill shortages, and these shortages may worsen by the end of the decade as baby boomers retire. Contributing to the shortages is the serious skills deficit that plagues the existing workforce, especially low-wage workers. The Employment Program supports policy analysis and development, research, and advocacy that helps low-wage, low-skilled individuals connect to the labor market, stay employed, and advance to higher-paying jobs.

Program priorities are:

Evaluating employment strategies for formerly incarcerated individuals The Joyce Foundation is launching a research demonstration project to test whether transitional jobs programs are an effective employment strategy for formerly incarcerated individuals. Early in 2006 the Foundation released a request for proposals to select four transitional jobs programs serving recently released male ex-offenders. These programs will be evaluated in a three-year random assignment impact study.

Promoting employment stability

Advocacy projects that work to defend, maintain, and, when possible, expand work-related benefit policies, including Medicaid, child health insurance, food stamps, Earned Income Tax Credit, and child care subsidies

Research efforts that add to existing knowledge about the relationship between work-related benefit policies and job retention and advancement

Policy development to improve state and federal service delivery to better match the needs of low-wage workers

Reinventing adult and developmental education

Convening and staffing cross-agency state working groups empowered to improve state policies on adult education, workforce development, post-secondary education, welfare, and economic development

Advocacy and strategic communications to promote public investment in this work

Development and evaluation of regional demonstrations in order to inform state policy

The Foundation does not provide operating support for direct services, such as job training or transitional jobs programs.

Environment

Protecting the natural environment of the Great Lakes region has been a long-time commitment of the Joyce Foundation. The Foundation supports the development, testing, and implementation of policy-based, prevention-oriented, scientifically sound solutions to the environmental challenges facing the region.

Program priorities are:

*Energy from clean coal** Because fossil fuel emissions create pollution and foster climate changes that threaten the Great Lakes, the Foundation has a long-standing interest in the energy infrastructure of the region. Investment and policy or regulatory decisions about proposed new coal-burning power plants will shape not only our electricity system for the future, but the future of the Lakes as well.

We are committed to promoting policies that encourage (through incentives and regulatory structures) the development of clean coal technologies and to ensuring that state agencies approve only those projects that meet state-of-the-art standards for minimizing air pollution and have significant promise for reducing or capturing carbon emissions. The Foundation supports efforts to engage state officials and power plant developers to build the cleanest possible plants to meet the region's electricity needs.

*Healthy rivers, healthy lakes** The health of the Great Lakes depends in part on the health of the rivers that feed them. Those tributaries are threatened by nutrient and sediment runoff, altered water flows, loss of habitat, and contaminated sediments. The Foundation supports efforts to coordinate conservation and restoration in a handful of selected Great Lakes tributary watersheds and to document the benefits of those efforts.

Great Lakes restoration Protecting the Great Lakes, with their vast economic and environmental significance, should be a national priority. The Foundation supports collaborative efforts to build a policy case for significant public investment in, and to shape implementation of, Great Lakes restoration.

Special opportunities The Foundation will consider especially promising proposals for addressing other threats to the Great Lakes, including invasive species.

**Proposals on clean coal and healthy rivers are being solicited through a Request for Proposals. Uninvited proposals will not be reviewed.*

Gun Violence

Gun violence takes approximately 30,000 American lives each year, second only to automobile crashes among causes of injury-related death. But while safety regulations have dramatically reduced highway fatalities, firearms remain virtually unregulated. The Gun Violence Program supports efforts to bring the firearms industry under comprehensive consumer product health and safety oversight as the most promising long-term strategy for reducing deaths and injuries from handguns and other firearms.

Program priorities are:

Supporting state-based policy initiatives in Illinois and Wisconsin that can achieve meaningful reforms and provide a model for gun policy nationwide

Supporting state groups in other Midwest states to expand their membership, funding levels, and organizational capacity to promote meaningful gun policy

Supporting focused research to inform state policy efforts

Strengthening public and policy-maker support for full implementation of the National Violent Death Reporting System

Money and Politics

A well-functioning representative democracy requires open and accountable government, informed citizen participation, competition of ideas and candidates, fair and equal application of the laws, a high level of public trust, and the protection of fundamental rights. The Money and Politics Program promotes these ends through support for federal and state-level initiatives that combine policy research and advocacy, public and policy-maker education, coalition-building, news media outreach, and participation in official proceedings, including litigation.

Program priorities are:

Improving federal and state campaign finance laws

Promoting media and communications policy reforms to ensure informed citizen participation in civic life

Protecting the independence and impartiality of the state courts

Increasing electoral competition through fair, nonpartisan redistricting

Strengthening the capacities of state-based coalitions and groups in the Midwest to develop and promote broad political reform agendas tailored to their states' needs

Culture

The Culture Program supports the efforts of cultural institutions, primarily in Chicago, to serve and represent the city's diverse populations. The program is interested in projects that bring diverse audiences together to share common cultural experiences and encourage more of Chicago's people to see the arts as integral to their lives.

Program priorities are:

Access Encouraging mid-sized and major Chicago cultural organizations to increase the participation of people of color in their audiences, boards, and staff

Community-based arts Increasing the availability of high-quality cultural programs in specific low-income, diverse communities, and strengthening the infrastructure and leadership of culturally specific and community-based arts organizations

Creativity Stimulating the commissioning and production of new works by artists of color and expanding artistic development opportunities available to them. This goal is addressed in part through *The Joyce Awards*, an annual competition open to cultural organizations in Chicago, Cleveland, Detroit, Indianapolis, Milwaukee, and Minneapolis-St. Paul. The Joyce Awards support the commissioning and production of new works in dance, music, theater, and visual arts by artists of color. The hope is that these partnerships will produce important new works of art, strengthen our cultural institutions, and draw people of all backgrounds to experience the deep rewards of participating in the arts. Proposed projects should include substantive community engagement efforts. Collaborations between organizations across the target cities and joint programming are encouraged. For application information, call the Foundation or consult our Web site, www.joycefdn.org/joyceawards.html.

Other Grants

Special Opportunities

The Foundation makes some grants to projects outside its primary program areas. Preference is given to projects that encourage debate on timely public policy issues, reflect concern for social equity or regional cooperation, or explore connections among the Foundation's programs.

President's Discretionary Fund

The President's Discretionary Fund is used to make small, expeditious grants that advance the Foundation's priorities, and to support other activities of interest to the Foundation. Competition for discretionary funds is very high.

How to Apply

The Joyce Foundation accepts grant inquiries throughout the year.

Letters of inquiry Before submitting a formal proposal to the Foundation, prospective applicants should write a two- or three-page letter of inquiry outlining the proposed project to the appropriate program officer (see list on page 66). The letter should describe the goals of the project, expected outcomes and how they relate to the Foundation's interests, the target audience and beneficiaries, the estimated budget and duration, and plans for evaluation and dissemination of findings. Letters of inquiry should be submitted at least six to eight weeks prior to the proposal deadline for a given grant cycle. (See the current schedule on page 65.) Program officers endeavor to respond in a timely manner and to advance all grant proposals expeditiously. However, program officers have discretion as to when to schedule formal proposal review.

Requests for proposals Certain grant making initiatives in the Employment and Environment Programs can be accessed only by going through a special request for proposal process. For those initiatives, RFPs are sent to preselected participants, and an announcement will be posted on the Foundation's Web site, www.joycefdn.org. For the Joyce Awards, in the Culture Program, a Call for Entries is published each January and posted on the Web site. Those having further questions about any of these RFPs are welcome to contact the Foundation.

Formal proposals After reviewing the letter of inquiry, the program officer may request a formal proposal. The proposal should include the application cover sheet, which is included at the back of this book or can be downloaded from our Web site (www.joycefdn.org). It should also include the information on the following page.

Grant Application Information

Executive summary or overview (1-2 pages)

Information on the project for which funding is requested, including the issue to be addressed, how the proposed project would address it, expected outcomes and how they relate to the Foundation's program goals, and plans for evaluation and dissemination of findings

Description of the organization, including its background, purpose, objectives, and experience in the area for which funds are sought

Itemized project budget with narrative and proposed funding sources, amount of funds requested from Joyce, their proposed use, and the time period over which they will be expended

Names and qualifications of people involved in the project

Organizational expenses and income for previous, current, and coming fiscal year

Board members, their titles, outside affiliations, and telephone numbers

Internal Revenue Service verification that the organization is not a private foundation and is exempt from taxation under Sections 509(a) and 501(c)(3) of the Internal Revenue Code (a copy of the IRS tax-exempt letter must accompany the proposal)

Audited financial statements and Internal Revenue Service Form 990 plus attachments for the most recently completed fiscal year

The Joyce Foundation Board of Directors have requested that they not be contacted individually regarding proposals.

The Foundation does not at this time accept proposals submitted online.

Deadlines Grant proposals are considered at meetings of the Foundation's Board of Directors in April, July, and November. Deadline dates are

<i>Proposal Deadline</i>	<i>Board Meeting</i>
August 15, 2006	November 2006
December 11, 2006	April 2007
April 16, 2007	July 2007

Applicants are strongly encouraged to plan their application and proposal submission process for the April or July meetings, since most grant funds will be distributed at those times.

If you wish to discuss your application, please contact one of the program officers whose names are listed on page 66.

If a grant is awarded, the recipient will be expected to provide regular reports to the Foundation on the project's progress and the expenditure of grant funds.

Board of Directors

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John T. Anderson

Vice Chairman
Charles U. Daly

Ellen S. Alberding
Robert G. Bottoms
Michael F. Brewer
Anthony S. Earl
Roger R. Fross
Howard L. Fuller
Carlton L. Guthrie
Marion T. Hall
Valerie B. Jarrett
Daniel P. Kearney
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President
Ellen S. Alberding

Vice President
Lawrence N. Hansen

**Vice President
Finance and Administration**
Deborah Gillespie

Director of Communications
Mary M. O'Connell

Director of Investments
Jane R. Patterson

Program Officers

Education
Gretchen Crosby Sims, *Program Manager*
John Luczak
Jana Fleming, *Consultant*

Employment
Whitney Smith, *Program Manager*
Jennifer Phillips, *Senior Program Officer*

Environment
Stephen Brick, *Program Manager*
Margaret H. O'Dell, *Senior Program Officer*
James Seidita

Gun Violence
Roseanna Ander

Money and Politics
Lawrence N. Hansen

Culture
Michelle T. Boone

Controller
Gil M. Sarmiento

**Information Technology
Specialist**
James Suh

Communications Assistant
Michael David Smith

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Veronica Salter

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Carol A. Donahue, *Environment*
Kristen Kozak
Jennifer O'Neill, *Education*
Alice Taylor
Lisa Vasquez
Tracy Weems, *Employment and
Money and Politics*
Jean Westrick, *Culture, Gun Violence,
and Communications*

Grant Proposal Cover Sheet

Please attach completed sheet or computer-generated sheet in the same format to your letter of inquiry.

Application Information

Name of Applicant		Date of Application
Address		
City	State	Zip
Telephone	Fax	
E-mail	Web Address	
CEO	Telephone	
Project Manager	Telephone	
Financial Contact	Telephone	
Date Organization Began Operations		
Number of Staff	Full-Time	Part-Time
Total Operating Expenses (for most recently completed fiscal year)		
Estimated Duration of Project	Beginning	Ending

Budget

	2006	(If Multi-Year Request) 2007 (2nd year)	2008 (3rd year)	Total
Budget Total	\$	\$	\$	\$
Requested from Joyce	\$	\$	\$	\$

Brief Description of Project

Geographic Area Served by Project

Beneficiary Group(s) Targeted by Project (*racial, ethnic, gender, age, income level*)

Date of IRS Ruling Letter of Tax-Exempt Status and EIN

TheJoyceFoundation

70 West Madison Street
Suite 2750
Chicago, Illinois 60602
312.782.2464 phone
312.782.4160 fax
info@joycefdn.org
www.joycefdn.org

The Joyce Foundation supports efforts to protect the natural environment of the Great Lakes, to reduce poverty and violence in the region, and to ensure that its people have access to good schools, decent jobs, and a diverse and thriving culture. We are especially interested in improving public policies, because public systems such as education and welfare directly affect the lives of so many people, and because public policies help shape private sector decisions about jobs, the environment, and the health of our communities. To ensure that public policies truly reflect public rather than private interests, we support efforts to reform the system of financing election campaigns.